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November 22, 2005

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Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

Art Unit 2686

Attn: Mail Stop Amendment

Re: U.S. Utility Patent Application
Application No. 09/476,092; Filed: January 3, 2000
For: **Analog Zero IF FM Decoder and Embodiments Thereof, Such as the
Family Radio Service**
Inventors: Sorrells *et al.*
Our Ref: 1744.0250001

Sir:

Transmitted herewith for appropriate action are the following documents:

1. Credit Card Payment Form (PTO-2038) in the amount of \$180.00 to cover the Information Disclosure Statement fee;
2. Information Disclosure Statement;
3. Form PTO 1449 (3 pages) listing (24) documents: AA68-AI68, AA69-AI69, AA70-AF70; and
4. Return postcard.


It is respectfully requested that the attached postcard be stamped with the date of filing of these documents, and that it be returned to our courier. In the event that extensions of time are necessary to prevent abandonment of this patent application, then such extensions of time are hereby petitioned.

Commissioner for Patents
November 22, 2005
Page 2

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

 39,987

fr

Michelle K. Holoubek
Attorney for Applicants
Registration No. 54,179

MKH/kmh
Enclosures

465951_1.DOC



THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re application of:

Sorrells *et al.*

Appl. No.: 09/476,092

Filed: January 3, 2000

For: **Analog Zero IF FM Decoder and
Embodiments Thereof, Such As
The Family Radio Service**

Confirmation No.: 7304

Art Unit: 2686

Examiner: Naghmeh Mehrpour

Atty. Docket: 1744.0250001

Tenth Supplemental Information Disclosure Statement

Mail Stop Amendment

Commissioner for Patents
PO Box 1450
Alexandria, VA 22313-1450

Sir:

Listed on accompanying Form PTO-1449 are documents that may be considered material to the examination of this application, in compliance with the duty of disclosure requirements of 37 C.F.R. §§ 1.56, 1.97 and 1.98. The numbering on this Tenth Supplemental Information Disclosure Statement is a continuation of the numbering in Applicants' Ninth Supplemental Information Disclosure Statement filed on October 19, 2005 in connection with the above-captioned application.

Applicants have listed publication dates on the attached PTO-1449 based on information presently available to the undersigned. However, the listed publication dates should not be construed as an admission that the information was actually published on the date indicated.

Applicants reserve the right to establish the patentability of the claimed invention over any of the information provided herewith, and/or to prove that this information may not be prior art, and/or to prove that this information may not be enabling for the teachings purportedly offered.

Applicants provide the following comments regarding the documents:

Documents AA68-AD68 were cited in a Notice of Allowance in related U.S. Patent Application No. 09/855,851, filed May 16, 2001, entitled "Apparatus, System, and Method for Down-Converting and Up-Converting Electromagnetic Signals," directed to related subject matter.

Documents AE68-AG68 were cited in an Office Action in related U.S. Patent Application No. 10/315,174, filed December 10, 2002, entitled "Reducing DC Offsets Using Spectral Spreading," directed to related subject matter. Also cited in said Office Action were U.S. Patent Nos. 5,999,561 and 6,686,879, which have already been cited in the present application.

Documents AH68, AI68, and AA69 were cited in a Notice of Allowance in related U.S. Patent Application No. 10/359,636, filed February 7, 2003, entitled "Integrated Frequency Translation and Selectivity," directed to related subject matter. Also cited in said Office Action were U.S. Patent Nos. 5,126,682; 5,926,054; 6,049,706; 6,421,534; and 6,560,301, which have already been cited in the present application.

Documents AB69-AF69 were cited in an Office Action in related U.S. Patent Application No. 10/197,255, filed July 18, 2002, entitled "Networking Methods and Systems," directed to related subject matter. Also cited in said Office Action was U.S. Patent No. 6,850,742, which has already been cited in the present application.

Document AG69 is a co-owned patent which is directed to related subject matter.

Documents AH69 and AI69 were cited in an Office Action in related U.S. Patent Application No. 09/569,045, filed May 10, 2000, entitled "Methods And Apparatuses

Relating To A Universal Platform Module And Enabled By Universal Frequency Translation Technology," directed to related subject matter. Also cited in said Office Action was U.S. Patent No. 5,844,868, which has already been cited in the present application.

Documents AA70-AF70 were cited in a Notice of Allowance in related U.S. Patent Application No. 10/961,272, filed October 12, 2004, entitled "Apparatus, System, And Method For Down-Converting And Up-Converting Electromagnetic Signals," directed to related subject matter. Also cited in said Office Action was U.S. Patent No. 6,853,690, which has already been cited in the present application.

This statement should not be construed as a representation that information more material to the examination of the present patent application does not exist. The Examiner is specifically requested not to rely solely on the material submitted herewith.

Applicants have checked the appropriate boxes below:

- ☐ 1. Statement under 37 C.F.R. 1.704(d). Each item of information contained in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart application and this communication was not received by any individual designated in 37 C.F.R. § 1.56(c) more than thirty days prior to the filing of this information disclosure statement.
- ☐ 2. Filing under 37 C.F.R. § 1.97(b). This Information Disclosure Statement is being filed before the mailing of a first Office Action on the merits. No statement or fee is required.

☒ 3. Filing under 37 C.F.R. § 1.97(c). This Information Disclosure Statement is being filed more than three months after the U.S. filing date AND after the mailing date of the first Office Action on the merits, but before the mailing date of a Final Rejection, or Notice of Allowance, or an action that otherwise closes prosecution in the application.

☐ a. Statement under 37 C.F.R. § 1.97(e)(1). I hereby state that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(1).

☐ b. Statement under 37 C.F.R. § 1.97(e)(2). I hereby state that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(2).

☒ c. Attached is our PTO-2038 Credit Card Payment Form in the amount of \$180.00 in payment of the fee under 37 C.F.R. § 1.17(p).

☐ 4. Filing under 37 C.F.R. § 1.97(d) This Information Disclosure Statement is being filed more than three months after the U.S. filing date and after the mailing date of a Final Rejection or Notice of Allowance, but before payment of the Issue Fee.

Enclosed find our PTO-2038 Credit Card Payment Form in the amount of \$_____ in payment of the fee under 37 C.F.R. § 1.17(p); in addition:

- ☐ a. Statement under 37 C.F.R. § 1.97(e)(1). I hereby state that each item of information contained in this Information Disclosure Statement was first cited in any communication from a foreign patent office in a counterpart foreign application not more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(1).
- ☐ b. Statement under 37 C.F.R. § 1.97(e)(2). I hereby state that no item of information in this Information Disclosure Statement was cited in a communication from a foreign patent office in a counterpart foreign application and, to my knowledge after making reasonable inquiry, was known to any individual designated in 37 C.F.R. § 1.56(c) more than three months prior to the filing of this Information Disclosure Statement. 37 C.F.R. § 1.97(e)(2).
- ☐ 5. The document(s) was/were cited in a search report by a foreign patent office in a counterpart foreign application. Submission of an English language version of the search report that indicates the degree of relevance found by the foreign office is provided in satisfaction of the requirement for a concise explanation of relevance. 1138 OG 37, 38.
- ☐ 6. A concise explanation of the relevance of the non-English language document appears below in accordance with 37 C.F.R. § 1.98(a)(3):


- ☒ 7. In accordance with 37 C.F.R. § 1.98(a)(2), copies of the U.S. patents cited on the attached Form PTO-1449 are not enclosed.
- ☐ 8. Copies of the documents were cited by or submitted to the Office in an IDS that complies with 37 C.F.R. § 1.98(a)-(c) in Application No. _____, filed _____, which is relied upon for an earlier filing date under 35 U.S.C. § 120. Thus, copies of these documents are not attached. 37 C.F.R. § 1.98(d).
- ☐ 9. It is expected that the examiner will review the prosecution and cited art in the parent application no(s). _____ in accordance with MPEP 2001.06(b), and indicate in the next communication from the office that the art cited in the earlier prosecution history has been reviewed in connection with the present application.

It is respectfully requested that the Examiner initial and return a copy of the enclosed Form PTO-1449, and indicate in the official file wrapper of this patent application that the documents have been considered.

The U.S. Patent and Trademark Office is hereby authorized to charge any fee deficiency, or credit any overpayment, to our Deposit Account No. 19-0036.

Respectfully submitted,

STERNE, KESSLER, GOLDSTEIN & FOX P.L.L.C.

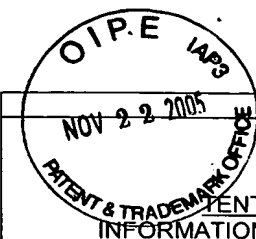
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for
Michelle K. Holoubek
Attorney for Applicants
Registration No. 54,179

Date: 11/22/05

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FORM PTO-1449

FIFTH SUPPLEMENTAL
INFORMATION DISCLOSURE STATEMENTATTY. DOCKET NO.
1744.0250001APPLICATION NO.
09/476,092FIRST NAMED INVENTOR
David F. SorrellsFILING DATE
January 3, 2000ART UNIT
2686

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOC. REF.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
	AA68	6,882,194 B2	04/2005	Belot <i>et al.</i>			
	AB68	6,892,062 B2	05/2005	Lee <i>et al.</i>			
	AC68	6,917,796 B2	07/2005	Setty <i>et al.</i>			
	AD68	6,920,311 B2	07/2005	Rofougaran <i>et al.</i>			
	AE68	5,062,122	10/1991	Pham <i>et al.</i>			
	AF68	6,182,011 B1	01/2001	Ward			
	AG68	6,639,939 B1	10/2003	Naden <i>et al.</i>			
	AH68	5,787,125	07/1998	Mittel			
	AI68	5,878,088	03/1999	Knutson <i>et al.</i>			

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOC. REF.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AJ						Yes No
	AK						Yes No
	AL						Yes No
	AM						Yes No

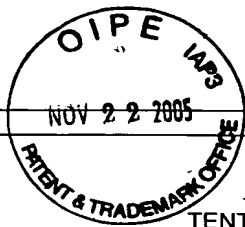
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

EXAMINER INITIAL	DOC. REF.	CITATION
	AN	
	AO	
	AP	
	AQ	
	AR	

EXAMINER

DATE CONSIDERED

EXAMINER: Initial if reference considered, whether or not citation is in conformance with MPEP 609. Draw line through citation if not in conformance and not considered. Include copy of this form with next communication to Applicant.



NOV 22 2005

FORM PTO-1449

TENTH SUPPLEMENTAL
INFORMATION DISCLOSURE STATEMENTATTY. DOCKET NO.
1744.0250001APPLICATION NO.
09/476,092FIRST NAMED INVENTOR
David F. SorrellsFILING DATE
January 3, 2000ART UNIT
2686

Page 2 of 3

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOC. REF.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
	AA69	6,215,828	04/2001	Signell <i>et al.</i>			
	AB69	5,703,584	12/1997	Hill			
	AC69	5,887,001	03/1999	Russell			
	AD69	6,298,065 B1	10/2001	Dombkowski <i>et al.</i>			
	AE69	2002/0080728 A1	06/2002	Sugar <i>et al.</i>			
	AF69	2002/0163921 A1	11/2002	Ethridge <i>et al.</i>			
	AG69	6,963,734 B2	11/2005	Sorrells <i>et al.</i>			
	AH69	6,005,506	12/1999	Bazarjani <i>et al.</i>			
	AI69	6,438,366 B1	08/2002	Lindfors <i>et al.</i>			

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOC. REF.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AJ						Yes No
	AK						Yes No
	AL						Yes No
	AM						Yes No

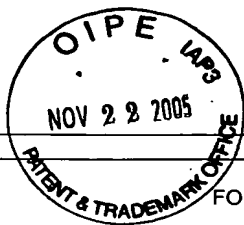
OTHER DOCUMENTS (Including Author, Title, Date, Pertinent Pages, etc.)

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	AQ	
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EXAMINER

DATE CONSIDERED

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FORM PTO-1449

**TENTH SUPPLEMENTAL
INFORMATION DISCLOSURE STATEMENT**

ATTY. DOCKET NO.
1744.0250001

APPLICATION NO.
09/476,092

FIRST NAMED INVENTOR
David F. Sorrells

FILING DATE
January 3, 2000

ART UNIT
2686

U.S. PATENT DOCUMENTS

EXAMINER INITIAL	DOC. REF.	DOCUMENT NUMBER	DATE	NAME	CLASS	SUB-CLASS	FILING DATE
	AA70	2001/0015673 A1	08/2001	Yamashita <i>et al.</i>			
	AB70	2003/0045263 A1	03/2003	Wakayama <i>et al.</i>			
	AC70	5,196,806	03/1993	Ichihara			
	AD70	5,410,195	04/1995	Ichihara			
	AE70	6,404,823 B1	06/2002	Grange <i>et al.</i>			
	AF70	6,618,579 B1	09/2003	Smith <i>et al.</i>			
	AG						
	AH						
	AI						

FOREIGN PATENT DOCUMENTS

EXAMINER INITIAL	DOC. REF.	DOCUMENT NUMBER	DATE	COUNTRY	CLASS	SUB-CLASS	TRANSLATION
	AJ						Yes No
	AK						Yes No
	AL						Yes No
	AM						Yes No

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